

06-26-02

RCE \$ 2815 +

PTO/SB/30 (8/2000)

Approved for use through 10/31/2002 OMB/0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of a utility or plant application  
filed on or after June 8, 1995,  
See the American Inventors Protection Act of 1999 (AIPA).

Application Number	09/579,402
Filing Date	May 25, 2000
First Named Inventor	Kei-Yu Ko
Group Art Unit	2815
Examiner Name	Eugene Lee
Attorney Docket Number	11675.114.1

#18/RCE  
7/3/02  
Smiler

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of an RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE Practice.

## 1. Submission required under 37 C.F.R. § 1.114.

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

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## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_
3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.
- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 23-3178
- i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ 850.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

07/01/2002 AWONDAF1 00000006 09579402

01 FC:179

740.00 OP

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print / Type) Jesus Juanos Timoneda, Ph.D.

Registration No. (Attorney / Agent) 43,332

Signature

Date June 24, 2002

## CERTIFICATE OF MAILING OR TRANSMISSION

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Name (Print / Type)

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
11675.114.1

In Re Application Of: Kei-Yu Ko

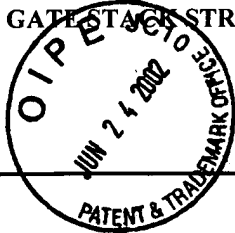
Serial No.  
09/579,402

Filing Date  
May 25, 2000

Examiner  
Eugene Lee

Group Art Unit  
2815

Title: GATE STACK STRUCTURE



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal Letter (1 pg in dup); Combined Amendment & Petition for Extension of Time (2 pgs dup);  
Amendment "C" (31 pgs); RCE (1 pg); Check # 127675 ;  
Certificate of Express Mailing #EV100880951US; Postcard

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in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$850.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Dated: June 24, 2002

Jesus Juanos i Timoneda, Ph.D.  
Attorney for Applicant  
Reg No. 43,332



022901

PATENT TRADEMARK OFFICE

CC:

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Kei-Yu Ko

Docket No.

11675.114.1

Serial No.

09/579,402

Filing Date

May 25, 2000

Examiner

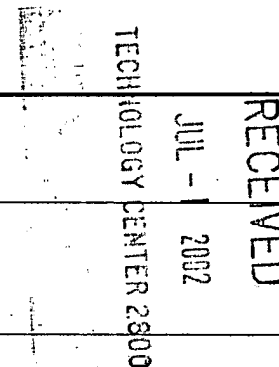
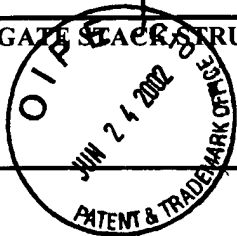
Eugene Lee

Group Art Unit

2815

Invention:

GATE STACK STRUCTURE



I hereby certify that the following correspondence:

Documents as listed below.

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

June 24, 2002*(Date)***022901**

PATENT TRADEMARK OFFICE

Jamie Warner*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EV100880951US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

Transmittal Letter (1 pg in dup); Combined Amendment & Petition for Extension of Time (2 pgs in dup); Amendment "C" (31 pgs); RCE (1 pg); Check # 127675 ;  
Certificate of Express Mailing Label No.  
EV100880951US; Postcard

**COMBINED AMENDMENT & PETITION FOR EXTENSION OF  
TIME UNDER 37 CFR 1.136(a) (Large Entity)**

Docket No.  
11675.114.1

In Re Application Of: Kei-Yu Ko

#17/Ex  
7/3/12  
Sunder

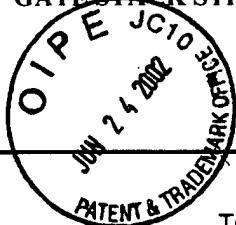
Serial No.  
09/579,402

Filing Date  
May 25, 2000

Examiner  
Eugene Lee

Group Art Unit  
2815

Invention: GATE STACK STRUCTURE



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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a combined amendment and petition under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the Office Action of Feb. 28, 2002 in the above-identified application.  
*Date*

The requested extension is as follows (check time period desired):

☒ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: May 28, 2002    until: June 28, 2002  
*Date*    *Date*

The fee for the amendment and extension of time has been calculated as shown below:

**CLAIMS AS AMENDED**

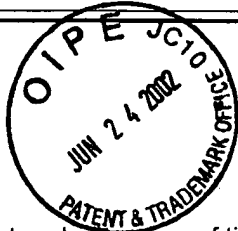
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	20 =	0	x \$18.00	\$0.00
INDEP. CLAIMS	6 -	3 =	0	x \$84.00	\$0.00
FEE FOR AMENDMENT					\$0.00
FEE FOR EXTENSION OF TIME					\$110.00
<b>TOTAL FEE FOR AMENDMENT AND EXTENSION OF TIME</b>					<b>\$110.00</b>

07/11/2002 AMONDAF1 00000006 09579402

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COMBINED AMENDMENT & PETITION FOR EXTENSION OF  
TIME UNDER 37 CFR 1.136(a) (Large Entity)

Docket No.  
11675.114.1



The fee for the amendment and extension of time is to be paid as follows:

\$850.00 = \$740.00 FOR RCE AND,

- ☒ A check in the amount of \$110.00 for the amendment and extension of time is enclosed.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of ~~\$110.00~~  
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178  
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 23-3178 A duplicate copy of this sheet is enclosed.

Dated: June 24, 2002

Jesus Juanos i Timoneda, Ph.D.  
Attorney for Applicant  
Reg No. 43,332



**022901**

PATENT TRADEMARK OFFICE

CC:

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*